Eligibility and Qualification Criteria			Compliance Ro	equirements		Documentation	
				JV/Consortium (existing or intended)			Submission Require-
No.	Factor	Requirement	Single Entity	All Parties Combined	Each Member	One Member	ments
3.2(a) Eligibility						1	
3.2(a)	Nationality	Nationality in accordance	Must meet	N/A	Must meet	N/A	Forms ELI – 1 and
(i)		with ITB 4.3	requirement		requirement		ELI-2
3.2(a)	Conflict of	No conflicts of interest as	Must meet	N/A	Must meet	N/A	Letter of Technical Bid
(ii)	Interest	described in ITB 4.2	requirement		requirement		
3.2(a)	JICA	Not having been declared	Must meet	N/A	Must meet	N/A	Letter of Technical Bid
(iii)	Ineligibility	ineligible by JICA, as	requirement		requirement		Form ACK .
		described in ITB 4.4					

Eligibil	lity and Qualification	Criteria	Compliance 1	Requirements			Documentation	
			Single	JV/Consortium (existing or intended)			Submission	
No.	Factor	Requirement	Entity	All Parties	Each	One	Requirements	
			Entity	Combined	Member	Member	requirements	
3.2(b) (	Contract Non-Perfor	mance and Litigation		1		1	1	
Contra	ct Non-Performance							
3.2(b)	History of Non-	Non-performance of a contract (i) did	Must meet	N/A	Must meet	N/A	Form CON	
(i)	Performing	not occur as a result of contractor's default since 1st January 2018 up to	requirement		requirement			
	Contracts	28days prior to the Bid submission	(ii)		(ii)			
		deadline.						
Litigati	ion							
3.2(b)	Pending Litigation	All pending litigation against the	Must meet	N/A	Must meet	N/A	Form CON	
(ii)		<b>Bidder</b> , as on 28days prior to the Bid submission deadline, shall in	require-		requirement			
		total not represent more than one	ment <sup>(ii)</sup>		(ii)			
		hundred percent (100 %) of the						
		Bidder's net worth and shall be treated as resolved against the						
		Bidder.						
3.2(b)	Litigation History	No consistent history of	Must meet	N/A	Must meet	N/A	Form CON	
(iii)		court/arbitral award decisions	require-		requirement			
		against the Bidder (iii) since 1st	ment <sup>(ii)</sup>		(ii)			
		January 2016 upto 28 days prior to						
		the Bid Submission deadline.						

## Notes for the Bidder

- (i) Non-performance, which is basically termination of the Contract as decided by the Employer, shall include all Contracts:
  - (a) where non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract or by written representation/appeal to the Employer, and
  - (b) that were so challenged but fully settled against the contractor.

Non-performance shall not include contracts where the Employer's decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

- (ii) This requirement also applies to contracts executed by the Bidder as a JV/Consortium member.
- (iii) The Bidder shall provide accurate information on the related Bidding Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution since 1<sup>st</sup> January 2016 upto 28 days prior to the Bid Submission deadline. A consistent history of awards against the Bidder or any member of a Joint Venture may result in rejection of the Bid.

Eligibility and Qualification Criteria			Compliance R	<b>Compliance Requirements</b>				
				JV/Consorti	um (existing or	intended)	Submission	
No.	Factor	Requirement	Single Entity	All Parties	Each	One		
				Combined	Member	Member	Requirements	
3.2(c) F	Financial Situation	n	<u> </u>	<u>l</u>				
3.2(c)	Financial	Details in Form FIN-1 shall be	Must meet	N/A	Must meet	N/A	Form FIN –1	
(i)	Performance	provided in reference to the	requirement		requirement			
		audited balance sheets or, if not						
		required by the laws of the						
		Bidder's country, other financial						
		statements for the last three (3)						
		years and must demonstrate the						
		current soundness of the Bidder's						
		financial position and indicate its						
		prospective long-term profitabil-						
		ity.						
		As the minimum requirement, a						
		Bidder's net worth calculated as						
		the difference between total						
		assets and total liabilities should						
		be positive in the last year and for						

Eligibility and Qualification Criteria		Compliance R	<b>Compliance Requirements</b>				
No.	Factor	Requirement	Single Entity	JV/Consortium (existing or intended)			Submission
		at least 2 (two) years during the last three (3) years.					
3.2(c) (ii)	Average Annual Construction Turnover	Minimum average annual construction turnover of INR 112 crore calculated as total certified payments received for contracts in progress and/ or completed within the last 3 (three) years and divided by 3 (three).	Must meet requirement	Must meet requirement	Must meet twenty five percent (25%) of the requirement	Must meet fifty percent (50%) of the require- ment	Form FIN – 2
3.2(c) (iii)	Bankruptcy/ Insolvency and Debt Restructuring	The Bidder shall not have suffered bank-ruptcy/insolvency during the last three (3) years from the date of deadline of submission of this Bid, and  1. The Bidder shall have neither obtained debt restructuring in the immediately preceding three (3) years from the date of deadline of submission of this bid nor	Must meet requirement	N/A	Must meet requirement	N/A	Form FIN –3

Eligibi	ligibility and Qualification Criteria		Compliance R	Documentation	
No.	Factor	Requirement	Single Entity JV/Consortium (existing or intended)		
		have applied for it (as on the date			
		of deadline of submission of this			
		Bid) and shall not be currently in			
		the process of corporate debt			
		restructuring,			
		Or if			
		2. The Bidder has obtained debt			
		restructuring in the immediately			
		preceding three (3) years from			
		the date of deadline of submis-			
		sion of this Bid or has applied for			
		it or are currently in the process			
		of corporate debt restructuring (as			
		on the date of deadline of			
		submission of this bid), the			
		successful Bidder shall open a			
		dedicated "Trust & Retention			
		Account" (T & R Account) in			
		consultation with the Employer.  Contractor shall submit a			
		certified list of ven-			
		dors/suppliers/sub-contractors/			
		consultants, associated and			
		engaged for the execution of the			
		contract work. The payments by			
		the Employer shall be made in			
		the designated currency to Trust			

_	Eligibility and Qualification Criteria C		Compliance R	Compliance Requirements				
	No. Factor Requirement		Single Entity	JV/Consortium	m (existing or in	ntended)	Submission	
			and Retention Account only. Bank will make payments on instruction of the Contractors to pre-certified vendors/suppliers/subcontractors/consultants only. The					
	Contractors/consultants only. The Contractor shall not divert the funds for the purpose(s) other than the intended purpose(s).							

Eligibil	ity and Qualification	on Criteria	Compliance Re	equirements			Documentation
				JV/Consorti	um (existing or	intended)	Submission
No.	Factor	Requirement	Single Entity	All Parties	Each	One	
				Combined	Member	Member	Requirements
3.2(d) l	Experience	l	L				
3.2(d)	General	Experience under construction	Must meet	N/A	Must meet	N/A	Form EXP – 1
(i)	Construction	contracts in the role of prime	requirement		requirement		
	Experience	contractor (single entity or					
		JV/Consortium member), or					
		subcontractor, or management					
		contractor(i) for at least the last					
		(5) five years, starting 1 <sup>st</sup>					
		January 2016.					
3.2(d)	Specific	Three minimum similar <sup>(ii)</sup>	Must meet	N/A	N/A	N/A	Form EXP – 2
(ii)	Construction	works that have been satisfacto-	requirement				(a)
	Experience	rily and substantially (iii)					
		completed as a prime contractor					
		(single entity or JV/Consortium					
		member) (iv) between 1st					
		January 2011 and the Bid					

submission deadline.			

## **Notes for the Bidder**

- i. A management contractor is a firm that does not normally perform directly construction work associated with the contract. Rather, it manages the work of other (sub) contractors while bearing full responsibility and risk for price, quality, and timely performance of the work contract.
- ii. Similar contract would mean a contract/contracts comprising of following activities:
  - b. Minimum one Similar work of INR 120 crore
    - i. OR
  - c. Minimum two Similar work of INR 75 crore
  - d. **Similar work means:** "Construction of multi-storeyed building (minimum G+3) including Mechanical-Electrical-Plumbing (MEP) works".
- i. Substantial completion shall be based on 80% or more payment received under the contract.
- ii. For contracts under which the Bidder participated as a JV/Consortium member, only the Bidder's share, in terms of monetary value, shall be considered to meet this requirement.
- iii. For contracts under which the Bidder participated as a JV/Consortium member, only the Bidder's share shall be counted to meet this requirement.
- iv. For contracts under which the Bidder participated as a JV/Consortium member or Subcontractor, only the Bidder's share shall be counted to meet this requirement.
- v. In case the construction experience in key activity 3.2(d)(iii) is being met by a specialist subcontractor, then in addition to Form ELI-2 and EXP-2(b), the undertaking from specialist subcontractor, for willingness to associate with the bidder, shall be submitted without which specialist subcontractor's experience shall not be considered.

Eligibil	Eligibility and Qualification Criteria		Compliance Re	Documentation			
				JV/Consortiu	ım (existing or i	ntended)	Submission
No.	Factor	Requirement	Single Entity	All Parties	Each	One	
				Combined	Member	Member	Requirements
3.2(e) I	inancial Resourc	ees					
3.2(e)	Financial	(i) The Bidder shall demonstrate	Must meet	Must meet	Must meet	NA	Form FIR – 1
	Resources	that it has access to, or has	requirement	requirement	requirement		
		available, liquid assets,					
		unencumbered real assets, lines					
		of credit, and other financial					
		means (independent of any					
		contractual advance payment)					
		sufficient to meet the construc-					
		tion cash flow requirements					
		estimated as INR 28 Crore for					
		the subject contract(s) net of the					
		Bidder's other commitments, as					
		on 28 days prior to the Bid					

_	Eligibilit	y and Qualification	Criteria	Compliance Re		Documentation		
	No.	Factor	Requirement	<b>Single Entity</b>	JV/Consortium	sortium (existing or intended)		Submission
			Submission deadline.					
			The Bidder shall also demonstrate, to the satisfaction of the Employer, that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments, as on 28days prior to the Bid submission deadline.					
			(ii) The Bidder shall also	Must meet	Must meet	N/A	N/A	Form FIR – 1,
			demonstrate, to the satisfaction	requirement	requirement			Form FIR- 2 and
			of the Employer, that it has					Annexure to
			adequate sources of finance to					Form FIR – 2
			meet the cash flow requirements					
			on works currently in progress					
			and for future contract					
			commitments.					